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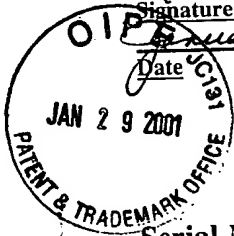
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January 26, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 09/641,469

Atty. Docket: 202N-095

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Inventors : Douglas J. Herst
Peter Y.Y. Ngai
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Title : LUMINAIRE HAVING A MOCK LIGHT SOURCE
FOR IMPROVED SOURCE BRIGHT CONTROL

Oakland, California
January 26, 2001

Asst. Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith the patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect to which there may be a duty to disclose in accordance with 37 CFR 1.56.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is material or is "prior art" for this

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invention unless specifically designated as such. In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(b) exists.

Applicants set forth a list of patent(s) and/or publications on the attached Form PTO-1449 (modified). A copy of each of the items on PTO-1449 (modified) is supplied herewith.

Respectfully submitted,



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